

# **Adult Protective Services**

## **GoToWebinar Housekeeping: attendee participation**



## Your Participation

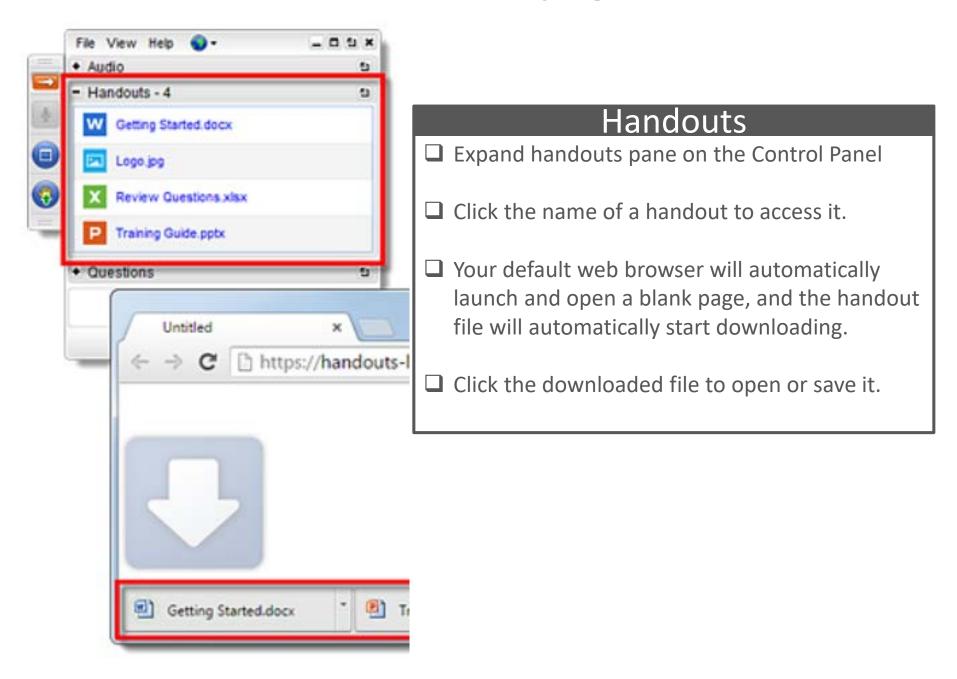
**Control Panel:** Open and close your control panel by clicking the arrow.

#### Join audio:

- Choose Mic & Speakers to use VoIP
- Choose **Telephone** and dial using the information provided

**Submit questions** and comments via the Questions panel.

## **GoToWebinar Housekeeping: Handouts**



#### **Adult Protective Services**



- The Adult Protective Services (APS) Law (Act 70 of 2010) was enacted to provide protective services to adults between 18 and 59 years of age who have a physical or mental impairment that substantially limits one or more major life activities.
- Funding first provided during state fiscal year 2012-13.
- Act 70 is the bridge between CPSL and OAPSA and mirrors OAPSA in many ways.

# To Report Abuse



#### **Child Protective Services**

- For individuals under 18 years of age
- Contact ChildLine at 1-800-932-0313

#### **Adult Protective Services (APS)**

- For individuals 18 years of age or older but under 60 years of age
- Contact Protective Services Hotline at 1-800-490-8505

#### Older Adult Protective Services (OAPSA)

- For individuals 60 years of age and older
- Contact Protective Services Hotline at 1-800-490-8505

# Adult Protective Services History



- Prior to April 1, 2015, there was a Memorandum of Understanding (MOU) between the Department of Human Services (DHS) and the Pennsylvania Department of Aging (PDA) to provide interim APS coverage prior to completion of the competitive bidding process.
- Effective April 1, 2015, Liberty Healthcare Corporation (Liberty) is the statewide contracted provider of protective services.



# Individual's Rights



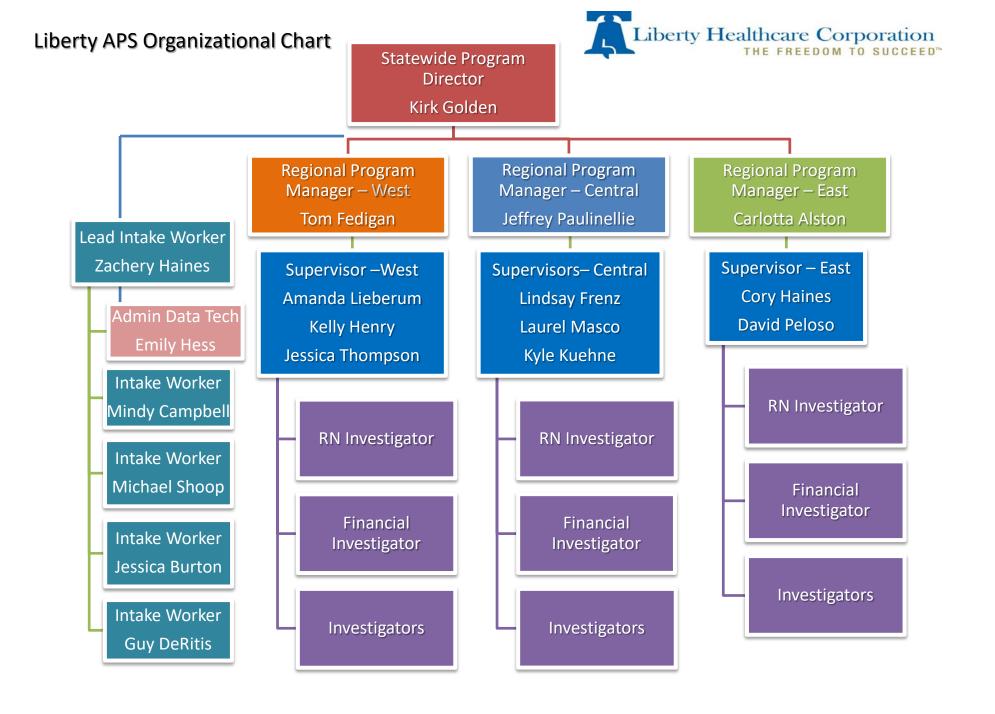
- Adults have the right to make choices, subject to the laws and regulations of the commonwealth, regarding their lifestyles, relationships, bodies, and health, even when those choices present risks to themselves or their property.
- Adults have the right to refuse an assessment.
- Adults have the right to refuse some or all protective services.
- Where there is clear and convincing evidence that, if protective services are not provided, the adult is at imminent risk of death, serious injury or serious bodily injury, the agency may petition the court for an emergency order to provide the necessary services.

## **Liberty Healthcare Responsibilities**

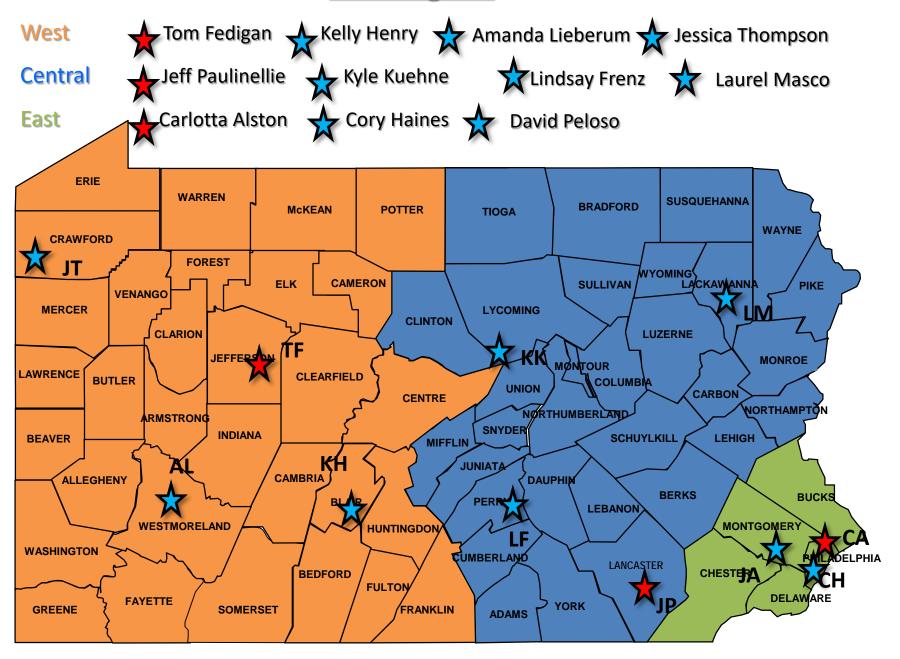


# What is the APS Agency (Liberty Healthcare Corporation) required to do?

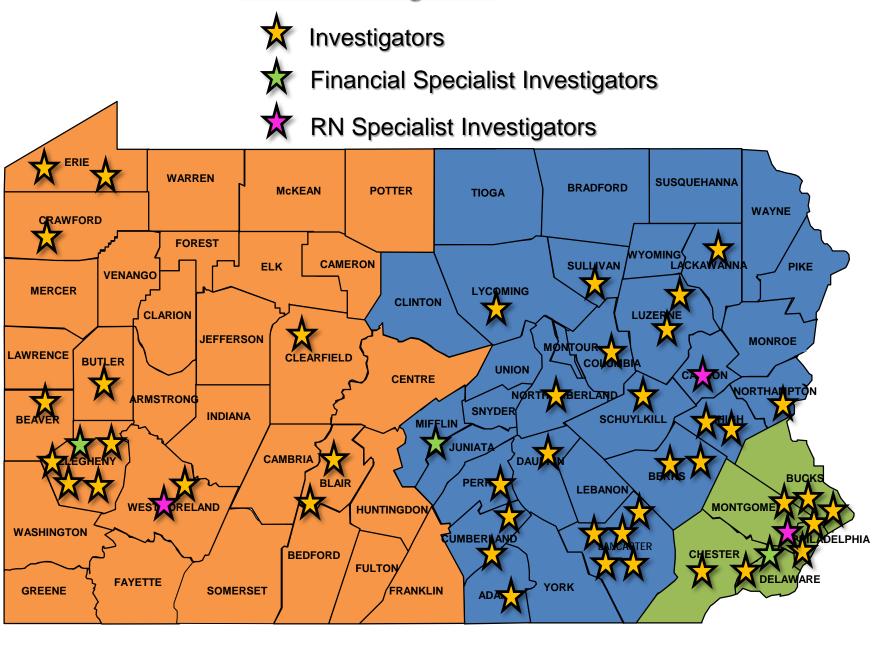
- Investigate allegations
- Determine if individual is at imminent risk and if protective services are necessary
- Cooperatively develop a service plan
- Provide protective services to adults who voluntarily consent
- Provide services in the least restrictive environment and the most integrated setting
- Provide guardianship as needed



#### **APS Regions**



## **APS Investigators**



#### **Liberty Healthcare APS Statewide Contacts**



#### Liberty Emergency After Hours Number: (888) 243-6561

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## **Eligibility Criteria**



# Who is eligible to receive protective services?

- A resident of the Commonwealth
- An adult between 18 and 59 years of age with a physical or mental impairment that substantially limits one or more major life activities
- An adult who needs the assistance of another person to obtain protective services in order to prevent imminent risk to person or property





Call the Statewide Protective Services Hotline (1-800-490-8505) to report an allegation of suspected abuse, neglect, exploitation or abandonment of an individual between 18 and 59 years of age with a physical or mental impairment.



Mandated Reporters must also contact law enforcement and DHS for cases of suspicious death, serious injury, serious bodily injury or sexual abuse.





Protective Services Hotline is answered by local Area Agency on Aging (AAA) who completes a Report of Need (RON) and documents the report in the Social Assistance Management System (SAMS).

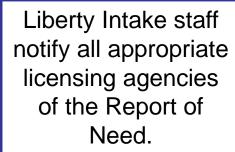


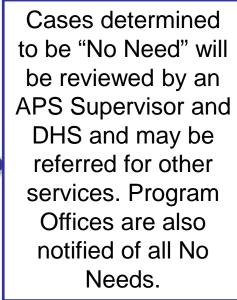


The AAA notifies Liberty Intake staff via email that a RON is in SAMS.



Liberty Intake Staff evaluate information in the RON to determine if individual meets eligibility criteria and classify the case as either "Priority, Non-priority, or No Need."











All cases classified as "Priority" or "Nonpriority" are assigned to an APS caseworker for investigation. Investigations must be initiated within 24 hours for "Priority" cases and within 72 hours for "Non-priority" cases.





APS Caseworker initiates investigation within required timeframes, assesses risk, determines if individual is at imminent risk, and mitigates risk if necessary, by providing protective services.







If case is substantiated, APS may provide or arrange for protective services intended to ensure the adult's immediate safety and well-being.





Protective services provided must be in the least restrictive and in the most integrated setting. An adult can only receive protective services voluntarily. Protective services may not be provided to an adult who refuses consent or who, having previously consented, withdraws the consent, unless the services are ordered by a court.

# Report of Need Categorization



- Priority: Priority reports require immediate attention because specific details in the report indicate the possibility that the adult reported to need protective services is at imminent risk of death or serious injury or serious bodily injury. The investigation shall be initiated immediately for a priority report.
- Non-priority: A non-priority report does not appropriately fall within the priority category; therefore, it does not require immediate attention by the agency. These investigations must be initiated within 72 hours.

# Report of Need Categorization



- No need for protective services: A report shall be placed in this category when the person reported to be in need of protective services meets either of the following criteria:
  - (a) has the capacity to perform or obtain, without help, services necessary to maintain physical or mental health
  - (b) is not at imminent risk or danger to his person or property

# Report of Need Summary



#### **Summary of information requested:**

- Consumer's demographic information
- Social Security Number critical link to SAMS
- All Details/Specifics regarding the allegations
- Consumer's physical and health conditions
- Consumer's disabilities and mental conditions
- Consumer's physical environment, incl. dangers
- Consumer's financial or legal problems
- Identity of alleged perpetrator(s)
- Reporter's identity, affiliation, contact information

# **Mandatory Reporters**



# Who is a mandated reporter?

- Assisted Living Facility
- Domiciliary Care Home
- Home Health Care Agency
- Intermediate Care Facility for Individuals with Intellectual Disabilities or with Other Related Conditions
- Nursing Facility
- Older Adult Daily Living Center
- Personal Care Home
- Residential Treatment Facility
- An organization or group of people that uses public funds and is paid, in part, to provide care and support to adults in a licensed or unlicensed setting

#### **General Reporting Requirements**



- 1. An administrator or employee who has reasonable cause to suspect that a recipient is a victim of abuse, neglect, exploitation or abandonment will immediately make an oral report to the statewide **Protective Services Hotline by calling 1-800-490-8505**.
- 2. Within 48 hours of making the oral report, the administrator or employee will email a written report to Liberty Healthcare at the following address:

  <u>mandatoryron@libertyhealth.com</u> or fax the report to **484-434-1590**. The following written report forms may be used:
  - ☐ The mandatory reporting form found on the Department's website;
  - ☐ An administrator or employee of a nursing facility, licensed by Department of Health, may submit a PB-22 form;
  - □ An administrator or employee may submit a Home and Community Services Information System (HCSIS) incident report (Printable Summary) or an Enterprise Incident Management (EIM) report.
- 3. An administrator or employee of a facility will continue to follow all required incident management regulations, policies and procedures.





#### **MANDATORY ABUSE REPORT**

DATE OF REPORT:	TIME:	
Secretary Control of C		

NAME OF VICTIM / RECIPIENT/ CONSUMER (Last, First, M.L):		FACILITY NAME:			
ADDRESS:		ADDRESS:			
CITY: STATE:	ZIP CODE :	CITY:	sı	TATE:	ZIP CODE:
PHONE:		PHONE: COUNTY:			
DATE OF BIRTH:	SEX:	FACILITY TYPE: (NH, PC)	H, DC, CLA, etc.)		
DATE AND TIME OF INCIDENT: DATE: TIME:	A.M. P.M.	FACILITY LICENSING A	GENCY: FACI	LITY LICENS	E NUMBER:
DATE AND TIME OF REPORT TO LICENSING AGE	ENCY:	LICENSING AGENCY CO	LICENSING AGENCY CONTACT AND TELEPHONE NUMBER:		
DATE: TIME: / /:_	A.M. P.M.	NAME:		TELEF	PHONE #::
OAPSA (over 60)	APS (under 60)				
ABUSE TYPE: (Check one )	ABUSE/NEGLECT TYPE: (Check one )				
ABUSE <u>not involving</u> sexual abuse, serious physical injury or suspicious de	ABUSE, NEGLECT, EXPLOITATION or ABANDONMENT <u>not</u> involving sexual abuse, serious injury, serious bodily injury or suspicious death				
SEXUAL ABUSE (rape, involuntary deviate sexual assault, statutory sexual assault indecent assault or in SERIOUS BODILY INJURY SERIOUS	SEXUAL ABUSE (rape, involuntary deviate sexual intercourse, sexual assault, statutory sexual assault, aggravated indecent assault, or incest)				
SUSPICIOUS DEATH	SERIOUS INJURY SUSPICIOUS DEATH				
DATE/TIME ORAL REPORT TO NAME OF AA AAA:	A CONTACTED:	AAA/APS AGENCY USE ONLY: DATE/TIME ORAL REPORT TO COUNTY CORONER: (# applicable)  AAA/APS AGENCY USE ONLY: NAME OF CORONER: (# applicable)			
DATE/TIME ORAL REPORT TO LOCAL LAW ENFORCEMENT: (If applicable)	ORCEMENT AGENCY:	DATE/TIME ORAL (if applicable)	L REPORT TO	O PDA/DHS:	
CONTACT INFORMATION: (PLEASE CHECK APPROPRI	ATE BLOCK)	ALLEGED PERPETRATOR	R NAME:	RELATIONS	SHIP TO
GUARDIAN ATTORNEY-IN-FACT NEXT OF KIN				VICTIM:	0.004.00
NAME:		ADDRESS:			
ADDRESS:	-	CITY:	TY: STATE:		ZIP CODE:
CITY: STATE:	ZIP CODE:	PHONE NUMBER:	AGE:		SEX:
PHONE NUMBER: RI	ELATIONSHIP:	TYPE OF POSITION: (RN, LPN, CNA, etc.)	WORK SHIFT:		TE OF HIRE:

PDACHSMAR (04/15)

PLEASE COMPLETE REVERSE SIDE

DETAILS AND DESCRIPTION OF ABUSE:	(ATTACH ADDITIONAL SHEETS I	ITIONAL SHEETS IF NECESSARY)		
		I		
ACTIONS TAKEN BY FACILITY, INCLUDING APPROPRIATE AUTHORITIES. (ATTACH ADD		HS AND X-RAYS, REMOVAL OF VICTIM AND NOTIFICATION OF		
OTHER PERTINENT INFORMATION, COMM	ENTS OR OBSERVATIONS	DIRECTLY RELATED TO ALLEGED ABUSE INCIDENT AND VICTIM:		
NAME AND TITLE OF REPORTER: (PLEASE TYPE OR PRINT)		SIGNATURE OF REPORTER:		
NAME:	TITLE:			
REPORTER CONTACT INFORMATION:				
TELEPHONE NUMBER:	EMAIL ADDRESS:	DATE:		
NAME AND TITLE OF PERSON PREPARING REPORT: (PLEASE TYPE OR PRINT)		SIGNATURE OF PERSON PREPARING REPORT:		
NAME:	TITLE:			
PERSON PREPARING REPORT CONTACT	INFORMATION:			
TELEPHONE NUMBER:	EMAIL ADDRESS:	DATE:		

# Additional Reporting Requirements



If the case involves sexual abuse, serious injury, serious bodily injury or suspicious death, in addition to the previous steps, an employee/administrator must **also**:

- 1. Make an immediate oral report to law enforcement.
- 2. Make an immediate oral report to the DHS staff responsible for the Adult Protective Services Program at **717-265-7887**, **select option #3.**
- 3. Within 48 hours of making the oral report, submit a written report to law enforcement. This written report can be the mandatory reporting form found on the Department's website, the PB-22, a HCSIS incident report, or the EIM report form.

Please see the written guidance provided to employees and administrators of facilities for specific details and definitions.



#### Abuse:

- Infliction of injury, unreasonable confinement, intimidation or punishment with resulting physical harm, pain or mental anguish
- Willful deprivation by a caregiver of goods or services which are necessary to maintain physical or mental health
- Sexual harassment, rape or abuse as the term is defined in 23 Pa.C.S. § 6102

**Neglect:** The failure to provide for oneself or the failure of a caregiver to provide goods, care or services essential to avoid clear and serious threat to the physical or mental health of an adult



**Exploitation:** An act or course of conduct by a caregiver or other person against an adult or an adult's resources, without the informed consent of the adult or with consent obtained through misrepresentation, coercion or threats of force, that results in monetary, personal or other benefit, gain or profit for the perpetrators or monetary or personal loss to the adult

**Abandonment:** The desertion of an adult by a caregiver



## **Serious bodily injury:**

- Injury that:
  - (1) creates a substantial risk of death; or
  - (2) causes serious permanent disfigurement or protracted loss or impairment of the function of a body member or organ

### **Serious injury**:

- An injury that:
  - (1) causes a person severe pain; or
  - (2) significantly impairs a person's physical or mental functioning, either temporarily or permanently



#### **Sexual abuse:**

- Intentionally, knowingly or recklessly causing or attempting to cause rape, involuntary deviate sexual intercourse, sexual assault, statutory sexual assault, aggravated indecent assault or incest, as defined by 18 Pa.C.S. (relating to crimes and offenses)
- Institutional Sexual Assault Under 18 Pa.C.S. § 3124.2(a), a person who is an employee or agent of the Department of Corrections or a county correctional authority, youth development center, youth forestry camp, State or county juvenile detention facility, other licensed residential facility serving children and youth, or mental health or mental retardation facility or institution can be charged with this offense if he or she engages in sexual intercourse, deviate sexual intercourse or indecent contact with an inmate, detainee, patient, or resident.

## Sexual Harassment



#### **Sexual Harassment:**

- Sexual harassment is unwelcome sexual advances, requests for sexual favors, and other verbal or physical conduct of a sexual nature.
- Sexual harassment is an abuse that requires reporting to the Protective Services Hotline; however, it is not sexual abuse which requires additional reporting responsibilities.

# Reporting Guidelines



- DHS' intention is not to discourage appropriate reporting, but rather to encourage providers to exercise judgement and discretion in deciding what is and is not reportable, by using these guidelines, and contacting APS for help/guidance, if needed.
- Evaluate all incidents in terms of the statutory definitions in the APS law, in order to determine whether or not a specific incident is reportable.
- When deciding whether or not to report abuse that occurred years ago, determine if there is continued contact between victim and alleged abuser, and the nature, frequency, and extent of continued contact.

# Reporting "Do's" and "Don't's"



- Call 911 <u>immediately</u> for any life-threatening emergencies, prior to calling APS.
- Call crisis intervention for any mental health emergencies requiring immediate attention.
- Follow up with your supervisor or administrator to ensure that APS has been contacted reporting upward does not end your reporting responsibility.
- Do <u>not</u> wait to call APS pending completion of the certified investigation—call immediately if you have "reasonable cause" to suspect abuse, neglect, etc.

# Voluntary Reporting



 Anyone who has "reasonable cause" to suspect that an adult is the victim of abuse, neglect, exploitation, or abandonment can call the protective services hotline at:

1-800-490-8505

# Possible Signs of Physical Abuse



- Bruises, black eyes, welts, lacerations, and rope marks
- Broken bones
- Open wounds, cuts, punctures, untreated injuries in various stages of healing
- Any physical signs of being punished or restrained
- The individual reports being hit, slapped, kicked, or mistreated

# Possible Signs of Sexual Abuse



- Bruises around the breasts or genital area
- Unexplained venereal disease or genital infections
- Unexplained vaginal or anal bleeding
- Torn, stained, or bloody underclothing
- The individual reports being sexually assaulted or raped

# Possible Signs of Mental Anguish



- Being emotionally upset or agitated
- Being extremely withdrawn and noncommunicative or non-responsive
- Unusual behavior usually attributed to dementia (e.g., sucking, biting, rocking)
- Nervousness around certain people
- The individual reports being verbally or mentally mistreated

#### Possible Signs of Neglect/Self-Neglect



- Dehydration, malnutrition, untreated or improperly attended medical conditions, poor personal hygiene
- Hazardous or unsafe living conditions (e.g., improper wiring, no heat or running water)
- Unsanitary living quarters
- Grossly inadequate housing
- The individual reports being mistreated or not being cared for properly

# Possible Signs of Exploitation



- Sudden changes in bank account or banking practice, including unexplained withdrawals
- Adding additional names on bank signature cards
- Abrupt changes in a will or other financial documents
- Unexplained disappearance of valuable possessions
- Forging a signature on financial transactions or for titles
- Sudden appearance of previously uninvolved relatives claiming rights to possessions
- Unexplained sudden transfer of assets to a family member or someone outside the family

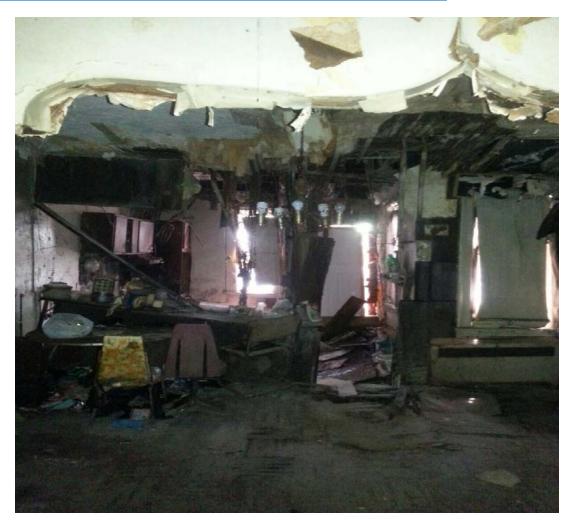




# NEXT SLIDES CONTAIN GRAPHIC PICTURES

# Condemned Home





# Hoarding





# Photos Prior to Double Amputation





# Decubitus Ulcer





# Decubitus Ulcer





# Paid Caregiver Neglect





# Neglect





# How to Report



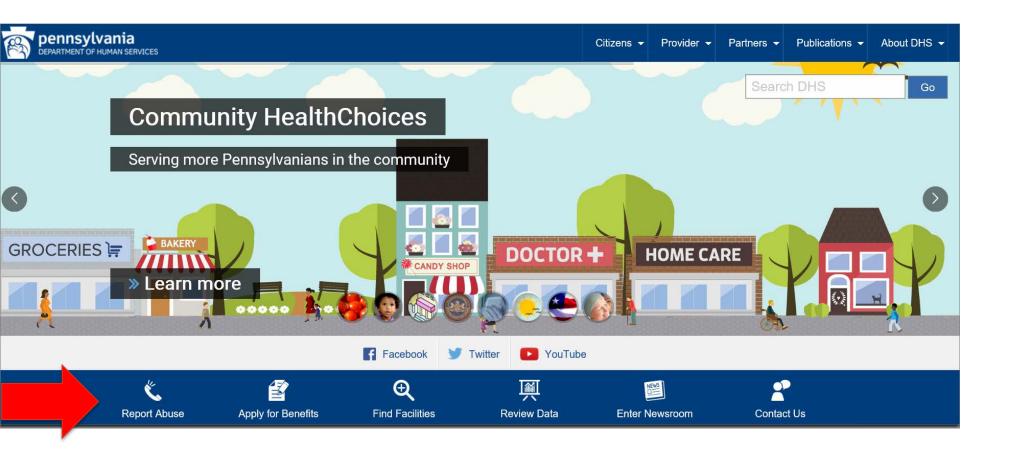


# HOW TO REPORT

1-800-490-8505

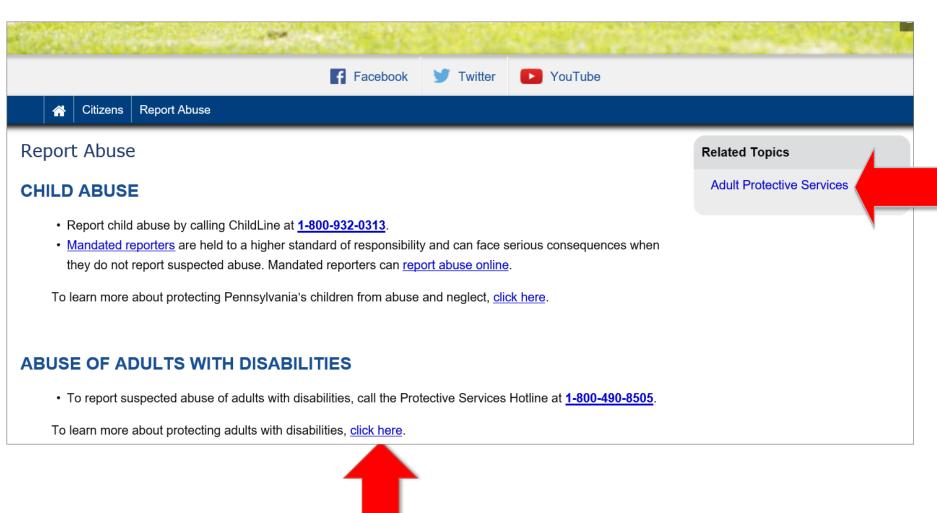
# www.dhs.pa.gov





### www.dhs.pa.gov





### www.dhs.pa.gov

program of protective services in order to detect, prevent, reduce and el

A report can be made on behalf of the adult whether they live in their ho

group home, hospital, etc. Reporters can remain anonymous and have

and civil and criminal prosecution. The statewide Protective Services ho

2015-16 ADULT PROTECTIVE SERVICES ANNU

View annual reports to explore data on reporting, regulations, victims, a

2015-2016 Adult Protective Services Annual Report
 2016-1017 Adult Protective Services Annual Report

abandonment of these adults in need.

COMMON SIGNS OF ABUSE





#### INFORMATION FOR MANDATORY REPORTERS

- · Who is a mandatory reporter?
- · Mandatory Reporter Informational Guidance
- · Act 70 Mandatory Reporter Form
- · Mandatory Reporter Form Instructions
- · Webinar for Mandatory Reporters
- · Mandatory Reporter Webinar PowerPoint
- · Report of Need (RON) completed by the Area Agency on Aging (AAA)

If you have questions about the APS program, please see the Frequently Asked Questions (FAQ) section.

If you have questions about the APS Law, mandatory reporting, or protective services, please contact the <u>Adult Protective Services Division</u>, or call **717-783-3670**.

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#### Questions and Additional Information



 Questions or requests for additional information regarding the Adult Protective Services program can be sent to the following email address:

RA-PWAPSQuestions@pa.gov

• If you do not have access to email, please call:

717-736-7116